

091-24600fn

For Internal Use Only
Sec File No. 9- RECEIVED

Submit 1 Original
and 9 Copies

UNITED STATES

2018 APR 13 PM 12:05

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

SEC
Mail Processing
Section
APR 13 2018
Washington DC
408

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2013
Estimated average burden
hours per response: 3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
3. Class of New Derivative Securities Product:
Ownership of the Trust
4. Name of Underlying Instrument:
iShares MSCI USA Small-Cap ESG Optimized ETF
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
6. Ticker Symbol(s) of New Derivative Securities Product:
ESML
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
8. Settlement Methodology of New Derivative Securities Product:
See Prospectus
9. Position Limits of New Derivative Securities Product (if applicable):
See Prospectus



18002553

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Michael J. Cardin

Title:

Director

Member Regulation Department

Telephone Number:

312-663-2204

Manual Signature of Official Responsible for Form:

Michael J. Cardin

Date

April 12, 2018

Act Securities Exchange Act of 1934

Section 19b-4
Rule 19b-4(e)

Public
Availability:

APR 13 2018



RECEIVED
2018 APR 13 PM 12:03
SEC / TM

April 12, 2018

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

ESML	iShares MSCI USA Small-Cap ESG Optimized ETF
------	--

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

A handwritten signature in black ink, reading 'Michael J. Cardin', is written over the typed name.

Michael J. Cardin
Director
Member Regulation Department

Enclosures